

## 2006 NYSRC RELIABILITY COMPLIANCE PROGRAM

MEAS./ COMP. TEMP.	RELATED RULE	MEASUREMENT DESCRIPTION	COMPLIANCE DOC. REQUIRED 1	DUE NYSRC	DATE RECV'D.	DATE OF RCMS REVIEW	COMP. LEVEL 2
<b>Resource Adequacy</b>							
A-M2	A-R2,3	Establishing LSE ICAP requirements	A	3/1/06	3/8/06	5/11/06	FC
A-M3	A-R2,3	Certifying LSE ICAP obligations are met*	B	7/1/06 4			
<b>Transmission Capability – Planning</b>							
B-M3	B-R5	Demonstration that system is planned considering ease of restoration	C	8/1/06			
B-M4	B-R6	List of NYS Bulk Power System Facilities	D	12/1/06			
<b>Resource, System &amp; Demand Data Requirements</b>							
C-M1	C-R1	Procedures for resource testing requirements	E	6/1/06	6/8/06	7/12/06	FC
C-M2	C-R1	Reporting resource DMNC test results to the NYISO*	F	12/1/06			
C-M3	C-R1	Reporting generator reactive power capacity test results to the NYISO*	G	12/1/06			
C-M4	C-R2	Procedures for reporting resource availability data	H	6/1/06	6/8/06	6/8/06, 7/12/06	FC
C-M5	C-R2	Reporting of resource outage data to the NYISO*	I	12/1/06			
C-M6	C-R2	Reporting of historical resource outage data statistics and trends	J	9/1/06			
C-M9	C-R4	Procedure for maintenance of load flow, short-circuit and stability data	K	5/1/05	5/11/06	5/11/06 7/12/06	4
C-M11	C-R4	Reporting of load flow, short-circuit and stability data to the NYISO*	L	12/1/06			
C-M12	C-R5	Procedures for disturbance recording device installation and reporting of data	M	12/1/06			

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C-M13	C-R5	Certification that disturbance recording devices have been installed and data reported as required*	N	12/1/06			
<b>Transmission Capability - Operating</b>							
E-M6	E-R8	Pre-seasonal fault duty assessments	O	6/1/06	7/12/06 5	7/12/06	TBD
E-M7	E-R8	MP evaluation of NYISO fault duty assessments*	P	12/1/06			
E-M8	E-R9	Application of Reliability Rules requirements	Q	5/1/06	5/11/06	5/11/06	FC
<b>Operation During Major Emergencies</b>							
F-M4	F-R8	TO load shedding capability*	R	10/1/06 6			
F-M6	F-R5	Voltage reduction testing	S	7/1/06 4			
<b>System Restoration</b>							
G-M1	G-R1,2	Procedure for maintaining a NYCA System Restoration Plan	T	10/1/06			
G-M2	G-R1,2	Transmission Owner Restoration Plan requirements*	U	10/1/06			
G-M3	G-R2	Blackstart Provider requirements*	V	10/1/06			
G-M4	G-R3	System restoration training requirements	W	10/1/06			
<b>Local Reliability Rules</b>							
I-M4	I-R1,2,3,4	Consideration of local rules in transmission planning and establishment of operating limits	X	8/1/06			
I-M5	I-R1,3,4	Con Ed procedures for Local Rules I-R1,R2 and R4*	Y	12/1/06	3/6/06	3/6/06	FC
I-M6	I-R3	LIPA procedures for Local Rule I-R3*	Z	12/1/06	3/6/06	3/6/06	FC
<b>Reliability Assessment</b>							
K-M1	K-R1	Certification that NYISO Manuals conform with Reliability Rules	AA	9/1/06			

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K-M2a	K-R2	Annual transmission assessment	BB	4/1/06	3/23/06	4/6/06, 5/11/06, 7/12/06	FC
K-M2b	K-R2	Annual resource adequacy assessment	CC	6/1/06	7/10/06	7	
K-M2d	K-R2	Monthly operating report		Monthly	7/12/06	7/12/06	FC 3
K-M3	K-R3	Extreme system condition assessment	DD	4/1/06	3/23/06	4/6/06	FC

\* Market Participant requirements.

### Footnotes

#### 1. NYISO Compliance Documentation Requirements:

- A. The NYISO Locational Capacity Requirement (LCR) Report for the 2006-07 capability period. LCRs should be determined using the “Unified Methodology”. Either included in the report or in a supplement report, additional documentation should be provided (1) on how the analysis covered in the locational capacity requirement study considered intra-zonal transmission capacities (bottled generation), and (2) 2006 LSE capacity and IRM requirements so as to meet the 2006 NYCA IRM requirement of 18.0%.
- B. Certification that each LSE has demonstrated that it has procured sufficient capacity for the 2006 capability period to meet its ICAP requirement in accordance with NYISO procedures and A-M3.
- C. An assessment that demonstrates that the NYCA transmission system is planned considering ease of system restoration.
- D. NYISO procedure for developing a list of NYS Bulk Power System facilities in addition to the facilities list itself.
- E. Self-certification that the NYISO met C-M1 requirements for the previous capability period, including the forwarding of capacity data to the TOs within the time requirements specified in the measurement.
- F. Certification that applicable GOs reported results of net dependable capacity tests in accordance with NYISO procedures and C-M2.
- G. Certification that applicable GOs reported results of reactive power capacity tests in accordance with NYISO procedures and C-M3.
- H. Self-certification that NYISO procedures meet recently modified C-M4 requirements for reporting outage data to the NYISO.
- I. Certification that ICAP providers submitted outage data to the NYISO during an agreed upon time period in accordance with NYISO procedures. The NYISO should also report any incidents of misreporting of outage data, including monetary sanctions imposed by the NYISO and letters of non-compliance.
- J. Self-certification that the NYISO has provided the NYSRC with historical outage statistics and trends for ICS’s IRM studies in accordance with C-M6.
- K. Self-certification that there is a procedure in place for the development of load flow, short-circuit, and stability data bases in accordance with C-M9, including new requirements for reporting data base changes.
- L. Certification that market participants have provided load flow, short-circuit, and stability data bases to the NYISO in accordance with C-M11.
- M. Self-certification that the NYISO has in place procedures for the installation of disturbance recording devices and market participant reporting of data. The report should include references to appropriate NYISO procedures.
- N. Certification that Market Participants have installed disturbance recording devices and reported data in accordance with NYISO procedures and C-M13.

- O. Report covering an annual pre-seasonal 2006 assessment evaluating fault duty at each BPS station. If the report shows fault duty levels exceed equipment ratings, mitigation plans jointly approved by the NYISO and equipment owners should be reported to the NYSRC by 12/1/06.
  - P. Certification that applicable equipment owners have evaluated NYISO fault duty assessments in accordance with E-M7.
  - Q. Self-certification that the NYISO has established and maintained a procedure for Applications of the Reliability Rules, posted the list on the NYISO Web site and that the list is up to date, in accordance with E-M8.
  - R. Certification that TOs have reported to the NYISO load shedding documentation in accordance with NYISO procedures and F-M4.
  - S. A report of the results of statewide voltage reduction tests for the most recent summer period in accordance with F-M6.
  - T. Applicable documentation and references showing that the NYISO has developed system restoration procedures addressing all of the requirements specified in G-M1. In addition, the NYISO staff should provide a presentation to RCMS addressing key issues relative the NYISO restoration plan. The status of the implementation of PSC Blackout Report recommendations should also be included.
  - U. Certification that all TOs have established restoration plans and if required, blackstart capability plans, in accordance with NYISO procedures and G-M2 requirements.
  - V. Certification that blackstart providers are in compliance with NYISO procedures and G-M3 requirements.
  - W. Self-certification that the NYISO maintains restoration training programs in accordance with G-M4 requirements.
  - X. An assessment of how Rules I-R1 through I-R4 are applied in assessing future transmission plans and establishing operating limits and the operation of the BPS.
  - Y. Certification that Con Edison is in compliance with I-M5 requirements.
  - Z. Certification that LIPA is in compliance with I-M6 requirements.
  - AA. Self-certification that the procedures in NYISO planning and operating manuals are in compliance with NYSRC Rules.
  - BB. Annual NYCA Transmission Assessment Report.
  - CC. NYCA resource adequacy report for the 2006-08 period.
  - DD. An assessment of the impact of Extreme System Conditions in accordance with K-M3 requirements. This assessment may be included in the NYCA Transmission Assessment Report (see Note BB).
2. FC – Full Compliance
    - L1 – Non-Compliance Level 1
    - L2 – Non-Compliance Level 2
    - L3 – Non-Compliance Level 3
    - L4 – Non-Compliance Level 4
  3. This information applies to all 2006 monthly reports through June.
  4. RCMS agreed to delay receipt of required compliance documentation until 8/1/06.
  5. Required pre-seasonal fault duty assessment was delayed past due date.
  6. RCMS agreed to an NYISO request to delay due date from 7/1/06 until 10/1/06.
  7. RCMS agreed to delay compliance review until 8/10/06.