

**Joint Meeting of the
New York State Reliability Council, L.L.C. (NYSRC)
Reliability Rules Subcommittee (RRS)/
Reliability Compliance Monitoring Subcommittee (RCMS)**

**RRS/RCMS Meeting at the NYSERDA Offices
17 Columbia Circle, Albany, NY
Thursday, August 4, 2011**

Draft Minutes of RCMS Meeting No. 139

RCMS/RRS Members and Alternates:

Roger Clayton, Electric Power Resources (Chairman)
Dan Head, Con Edison (RCMS Secretary)
Larry Hochberg NYPA (RRS Secretary) Member
Anie Philip, LIPA (Phone)
Roy Pfleiderer, National Grid

Non-Voting Participants:

Alan Adamson, NYSRC Consultant
Jim Grant, NYISO
Paul Kiernan, NYISO

1. Introduction

Roger Clayton called the meeting to order at 12:32 pm.

1.1. Executive Session

None requested.

1.2. Requests for Additional Agenda Items

Roger Clayton added an update on the ongoing blackstart testing and G-M3 non-compliance issues to the agenda.

2. Approval of Minutes / Action Items

2.1 Approval of RCMS Minutes #137

The minutes for meeting #138 were approved without changes.

2.2 RCMS Action Items List

- Action Item 103-3. The item was deemed complete given that the current resource adequacy assessment reporting requirements are sufficient for the committee's needs.

- Action Item 138-1. A brief report was given on the cause of the Y-49 LTE overload with the cause attributed to an incomplete study done prior to the closure of Y-50. With this report, the item was marked complete.
- Action Item 138-2. After a brief discussion, RCMS recommended to the NYISO that it include minimum station breaker duties in next year's Pre-Seasonal Fault Duty Assessment report. However, the current report is complete and adequate in its current form, and so this item was marked complete.
- Action Item 138-3. Al Adamson noted that there were several instances in self-certification documents where the NYISO is required to provide some supporting documentation to the committee despite the fact that the items in question are self-certifications. He noted that this language provides some ambiguity to the requirements and therefore recommended changing the wording from "shall provide" to "will make available". This recommendation was accepted, and the action item marked complete.
- Action Item 138-4. Roy Pfleiderer discussed the issue of machine terminal voltages with the NYISO's Zach Smith, and the two jointly came to a decision to leave the 2010 NYCA Transmission Assessment Report the way that it is. This item was marked complete.

3. NYSRC 2011 Reliability Compliance Monitoring Program

3.1. 2011 Reliability Compliance Monitoring Program Summary

Following last month's deferrals on RCMS requirements I-M1, I-M2, and I-M3, the NYISO re-submitted documentation to the committee supporting its compliance with these requirements. Review of these documents was then pushed to agenda item 3.7.

3.2. NYISO Operations Reports for June and July 2011

Jim Grant presented the NYISO's June Operations Report without questions. He then presented July's Operations Report, noting that the peak load for July was 5% above the NYISO's predicted peak for the summer season. As an action item, Mr. Grant will provide RCMS with the amount of demand response, in MW, that the state achieved during the peak load period (Action Item 139-1).

3.3. Pre-Seasonal Fault Duty Assessment (E-M6) – Revised Report

As was noted in the Action Items section, RCMS decided to recommend to the NYISO that it publish its minimum station breaker duties in next year's Pre-Seasonal Fault Duty Assessment report. RCMS also requested that next year this Fault Duty Assessment be first approved by RCMS prior to entering the NYISO process for review. However, the current report meets all RCMS requirements, and so RCMS found the NYISO in full compliance with requirement E-M6.

3.4. NYISO Requirements for Con Ed to have Procedures in Accordance with I-R1, I-R3, and I-R4 – Revised Compliance Submissions (I-M1)

RCMS reviewed the NYISO's revised compliance submissions for requirements I-R1, I-R3, and I-R4, collectively known as requirement I-M1. RCMS deemed these documents to be correct and adequate to show compliance. RCMS then found the NYISO in compliance with I-M1.

3.5. NYISO Requirements for LIPA to have Procedures in Accordance with I-R5 – Revised Compliance Submissions (I-M2)

RCMS reviewed the NYISO's revised compliance submissions for compliance measurement I-M2. RCMS deemed these documents to be correct and adequate to show compliance. RCMS then found the NYISO in compliance with I-M2.

3.6. Procedures to Ensure Sufficient 10-Minute Reserve in NYS – Revised Compliance Submissions (I-M3)

RCMS reviewed the NYISO's revised compliance submissions for compliance measurement I-M3. RCMS deemed these documents to be correct and adequate to show compliance. RCMS then found the NYISO in compliance with I-M3.

3.7. Compliance Reviews Scheduled for Next Meeting – C-M9, C-M10, and C-M11

In discussing the requirements up for review at the next meeting, Al Adamson noted that C-M9 is another self-certification, and that RCMS is asking for some additional certification documents besides self-certification document itself because these requirements are new this year.

4. Policy 4 Draft Revision

Mr. Adamson gave a report on a meeting to discuss the Policy 4 Draft Revision with himself, Roger Clayton, Paul Gioia, Jim Grant, Paul Kiernan and Carl Patka. At this point, the most significant open issue is how RCMS will proceed procedurally when the NYISO is found non-compliant based on the actions (or failures to act) of a market participant. Mr. Adamson noted that this is essentially what happened last year with the G-M3 (Blackstart) non-compliance issue, and that at this time there is some ambiguity in the present Policy 4 about what the correct actions to take are in such a situation. The NYISO wants the NYSRC to have some discretion in cases where the NYISO has done all that it can to ensure compliance but is still non-compliant due to the actions of a market participant. Mr. Patka agreed to have comments in to RCMS no later than one week prior to the September 1 meeting of RCMS.

After Mr. Adamson's report, Roger Clayton suggested that future non-compliance letters should address not only current conditions but also future conditions and the NYISO's plans to resolve related non-compliance issues going forward.

The discussion of the Policy 4 Revision ended with Mr. Adamson noting that Sections 8 and 9 will be drafted before the next RCMS meeting.

5. NYISO/NPCC/NERC Activities

5.1. NYISO 2011 NERC/NPCC Compliance Program Status

Mr. Grant briefed the NYISO's compliance tracker. The NYISO successfully self-certified with four NPCC requirements during the past month.

5.2. NPCC Standards/Criteria Development Update

Covered during the RRS portion of the joint meeting.

5.3. NERC Standards Development Update

Covered during the RRS portion of the joint meeting.

5.4. NPCC Compliance Committee Report

No report. The NPCC Compliance Committee meeting occurred concurrently with RCMS's meeting. As an action item, Jim Grant will report on the NPCC Compliance Committee meeting during the September RCMS meeting (Action Item 139-2).

5.5. Other NYISO, NPCC, and NERC Activities

No report.

6. Reports

6.1. NYSRC EC Meeting Report

Covered during the RRS portion of the joint meeting.

6.2. NYSRC ICS Report

Covered during the RRS portion of the joint meeting.

7. Additional Agenda Items

Roger Clayton asked the NYISO for an update on its G-M3 (Blackstart) non-compliance situation. Paul Kiernan responded by saying that the non-compliant provider had retested and then filed an appeal with FERC to be granted a waiver based on the results of its test. This is not a change from last month, however, and Mr. Grant did not know when any sort of ruling was due from FERC. Mr. Clayton then wondered aloud if RCMS could find the NYISO non-compliant even if FERC granted the waiver; he then decided to take this hypothetical question to the EC.

As an action item, RCMS will monitor this situation and report to the EC when it is eventually resolved (Action Item 139-3).

8. Next Meeting #140: Thursday, September 1, 2011, 9:30 am @ NYSERDA, 17 Columbia Circle, Albany, NY

The meeting closed at 1:57 pm.