

NEW YORK STATE RELIABILITY COUNCIL
MEETING 219: July 14th, 2017
Report for Agenda Item 10.1: Michael Forte
NPCC Board of Directors Report

The NPCC Board of Directors (BOD) meeting was held on June 28th. The following is a summary of the NPCC Compliance Committee Report from the BOD meeting.

BOD Report from the Compliance Committee

The NPCC Compliance Committee Report provided a summary of key trends and events related to Compliance Monitoring and Enforcement (CMEP) activities.

a. Major Highlights

- i.** NPCC is developing an Enhanced Registration Database which provides a single source of data, efficient implementation of registration activities related to affiliated registered entities, consolidated registrations and a more effective method for verifying functional mapping.
- ii.** The NPCC Entity Risk Assessment (ERA) group has developed a process for Critical Infrastructure Protection (CIP) Internal Control Evaluation (ICE).
- iii.** NPCC staff has developed criteria for defining a repeat violation and has identified the number of repeat violations since enforcement of NERC Reliability Standards began in 2007.
- iv.** FERC and NERC have completed their 2016 annual review of NPCC's Find, Fix, Track and Report (FFT) and Compliance Exception (CE) implementation. The review evaluated NPCC's FFT and CE procedures and processes, evaluated specific CE and FFT issues and assessed completion of FFTs and CEs. The review found NPCC is properly implementing the FFT and CE programs.

b. Violation Processing Statistics

- i.** NPCC has closed out 87% of all non-compliances identified since 2007 and 98% of the non-compliances have been mitigated.

c. Program Status Reports

- i.** Inherent Risk Assessment (IRA) – NPCC is reviewing previously conducted IRAs and conducting ICE (if volunteered).
- ii.** Internal Control Evaluation (ICE) – Two CIP ICEs will be performed in 2017.
- iii.** Operation and Planning Audits – Six off-site audits and three on-site audits have been completed, 29 more off-site and five more on-site audits are planned.
- iv.** CIP Audits – Seven on-site audits and three off-site audits have been completed, Four more on-site and seven more off-site audits are planned.

d. Registered Entity Outreach

- i.** The fall 2017 Compliance and Standards Workshop will be held November 7-9 in Hartford, Connecticut.
- ii.** NPCC has developed both a Physical and a Cyber-Security outreach program, geared toward non-nuclear generating sites. Two sites have seen scheduled and eight sites are pending.