

**NEW YORK STATE RELIABILITY COUNCIL
2015 RELIABILITY COMPLIANCE PROGRAM**

REQUIREMENT(S) ¹	REQUIREMENT DESCRIPTION	MEASURE	COMPLIANCE DOCUMENTATION DUE ²	DATE RECEIVED	DATE OF RCMS REVIEW	NYISO COMPLIANCE LEVEL ³
Resource Adequacy						
A.2(R3)	Establishing Locational Capacity Requirements	M1	3/26/15	3/26/15	4/2/15	FC
A.2(R4)	Certification that LSE ICAP obligations were met	M2*	10/22/15	10/29/15	10/29/15	FC
A.3(R1)	2015 NYCA resource adequacy assessment	M1	5/28/15	5/28/15	6/4/15	FC
Transmission Planning						
B.1(R1 to R5)	Transmission system planning performance requirements	M1	3/26/15	3/26/15	4/2/15	FC
B.2(R7)	Transmission system planning assessment	M1	3/26/15	3/26/15	4/2/15	FC
B.3(R1 to R3)	List of NYS BPS Facilities	M1	6/18/15	6/18/15	6/25/15	FC
Transmission Operation						
C.1(R1, R2)	Establishing operating transfer capabilities	M1	8/27/15	8/27/15	9/3/15	FC
C.5(R1, R3)	NYISO fault current assessments	M1	5/28/15	5/28/15	6/4/15	FC
C.5(R2)	Certification that Equipment Owners implemented required actions concerning NYISO fault current assessments	M2*	9/24/15	9/24/15	10/1/15	FC
C.6(R1, R2)	Applications of the NYSRC Reliability Rules	M1	10/22/15	10/29/15	10/25/15	FC
C.8(R1.1 to R1.8)	Real-time operations of the NYS BPS	M1	Monthly	11/5/15	12/1/15 ⁶	FC ⁶
Emergency Operations						
D.1(R8)	Mitigation plans for Major Emergencies	M1	7/30/15	7/30/15	8/6/15	FC
D.1(R10)	Voltage reduction testing	M3	7/30/15	7/30/15	8/6/15	FC
D.2(R2)	Underfrequency load shedding requirements	M1	7/30/15	7/30/15	8/6/15	FC
D.2(R3)	Certification that TOs met NYISO load shedding requirements	M2*	7/30/15	7/30/15	8/6/15	FC

REQUIREMENT(S)	REQUIREMENT DESCRIPTION	MEASURE	COMPLIANCE DOCUMENTATION DUE 2	DATE RECEIVED	DATE OF RCMS REVIEW	NYISO COMPLIANCE LEVEL 3
Local Area Operation						
G.4(R1) 5	Requirements for identifying Eligible Black Start Resources	M1	4/2/15	3/30/15	4/30/15	FC

*Applicable to Market Participants; NYISO compliance certification required.

1. Former Measurement designations for these Requirements are translated in Appendix 1.
2. Compliance documentation due dates are generally scheduled seven days prior to RCMS meetings. Compliance documentation requirements are shown in Appendix 2.
3. NYISO Compliance Levels
 FC – Full Compliance
 NC1 – Non-Compliance Level 1
 NC2 – Non-Compliance Level 2
 NC3 – Non-Compliance Level 3
 NC4 – Non-Compliance Level 4
4. See Appendix 2, “Compliance Documentation Requirements.”
5. Con Edison shall submit compliance documentation to the NYISO for a companion Requirement G.4(R2) by February 1, 2016 in accordance with the PRR 116A Implementation Plan, as part of the 2016 Compliance Program.
6. This applies to the October 2015 operations report. All previous 2015 monthly reports are in full compliance.

Appendix 1
Former Measurement Designation Index

Requirements	Measurements
A.2(R3)	A-M2
A.2(R4)	A-M3
A.3(R1)	K-M2b
B.1(R1 to R5)	New
B.2(R7)	K-M2a, B-M1, B-M2, B-M3, I-M4, K-M3
B.3(R1 to R3)	B-M4
C.1(R1, R2)	E-M1
C.5/R1, R3	E-M6
C.5(R2)	E-M7
C.6/R1, R2	E-M8
C.8(R1.1 to R1.8)	K-M2d
D.1(R8)	F-M1
D.1(R10)	F-M6
D.2(R2)	F-M3
D.2(R3)	F-M4
G.4(R1)	I-M7

APPENDIX 2: 2015 RELIABILITY COMPLIANCE PROGRAM – COMPLIANCE DOCUMENTATION REQUIREMENTS

Requirements	Type of Reporting ^(a)	Compliance Period	Compliance Documentation Requirements
A-R2/R3 (R1, R2)	Complete documentation in accordance with M1.		A NYISO Locational Capacity Requirement Report, covering the 2015 Capability Year, shall be provided in accordance with M1. The NYISO shall also provide the allowable amount of LSE ICAP requirements that may be located externally to the NYCA, and how this was calculated. An appropriate NYISO staff person shall be available at the RCMS meeting to discuss this information and answer questions.
A-R2/R4 *	Certification in accordance with M2.	Nov 2014-Oct 2015	
A-R3/R3	Complete documentation in accordance with M1.		A NYCA Resource Adequacy report covering the 2015-2017 period shall be provided. Prior to the assessment, RCMS and NYISO staff shall agree on the scenarios to be included in the analysis. An appropriate NYISO staff person should be available at the RCMS meeting to discuss this report and answer questions.
B-R1/R1 to R5	Self-Certification in accordance with M1.		The self-certification shall be accompanied by: (1) examples of the types of NYISO planning studies utilizing R1 to R5, (2) references to NYISO procedures that specify these requirements, and (3) a statement as to whether NYISO procedures require MPs to plan their systems in accordance with certain of these requirements.
B-R2/R7 (R1 to R6)	Complete documentation in accordance with M1.		Provide a NYCA 2014 Transmission Review in accordance with the <i>NYSRC Procedure for NYCA Transmission Reviews</i> shall be provided. This transmission assessment shall include the status of upgrades that are necessary avoid thermal rule violations that were identified in previous transmission reviews. Submission of this report to the NYSRC should be coordinated with its submission to NPCC.
B-R3/R1 to R3	Complete documentation in accordance with M1.		Reference the NYISO procedure for developing a list of NYS Bulk Power System facilities and submit this list to the NYSRC.
C-R1/R1, R2	Self-Certification in accordance with M1		The self-certification shall be accompanied with references to: (1) NYISO procedures for establishing operating transfer capabilities, and (2) NYISO procedures that identify actions to be taken whenever the bulk power transmission system’s thermal, voltage, and stability limits are exceeded.

Requirements	Type of Reporting ^(a)	Compliance Period	Compliance Documentation Requirements
C-R5/R1, R2	Complete documentation according to M1		A report covering an evaluation of the fault duty at each BPS station for the 2015 Capability Year shall be provided. If the report shows fault duty levels exceed equipment ratings, provide mitigation plans jointly approved by the NYISO and equipment owners.
C-R5/R2 *	Certification according to M3		This certification applies to the 2015 Capability Year fault duty evaluation required by M1.
C-R6/R1, R2	Complete documentation according to M1		Submit an up-to-date “Applications of the Reliability Rules” document, noting any changes over the past three years. The NYISO shall either submit or reference a NYISO procedure for preparing and updating the Applications, including transmission owner responsibilities.
C-R8/R1.1 to R1.8	Complete documentation according to M1	Monthly	Monthly operations reports that provide data on the performance of the NYCA system for that month shall be provided. Data to be included in this report shall be in accordance with Measurement K-M2d requirements.
D-R1/R8 (R1 to R7)	Self-Certification according to M1		The self-certification shall be accompanied by references to NYISO procedures that comply with M1.
D-R2/R10	Complete documentation according to M3		A report on the results of statewide voltage reduction tests for the 2015 summer period in accordance with M3 requirements shall be provided.
D-R2/R2 (R1)	Self-Certification according to M1		Evidence of required procedures shall be provided.
D-R2/R3 *	Certification according to M2	2013-14 Capability Year	
G-R4/R1	Complete documentation		The PRR 116A Implementation Plan stipulated: “Within 60 days of receiving FERC approval for a tariff change, the NYISO shall submit evidence that the NYISO has modified procedures in the NYISO System Restoration Manual and other relevant NYISO documents to comply PRR 116A rule changes.” A FERC order, issued January 30, 2015, stated as follows: “... we accept NYISO’s proposed tariff revisions, to become effective February 1, 2015, as requested.” Accordingly, the NYISO must submit the above referenced compliance documentation to RCMS by April 2, 2015. An appropriate NYISO staff person should be available at the April 30, 2015 RCMS meeting to discuss the required PRR 116A procedures per G-R4/R1 and answer questions.

*This is a Market Participant Requirement.