

## NEW YORK STATE RELIABILITY COUNCIL 2017 RELIABILITY COMPLIANCE PROGRAM

REQUIREMENT(S)	REQUIREMENT DESCRIPTION	MEASURE	COMPLIANCE DOCUMENTATION DUE 1	DATE RECEIVED	DATE OF RCMS REVIEW	NYISO COMPLIANCE LEVEL 2
<b>Resource Adequacy</b>						
A.2 (R1 to R3)	Locational Capacity Requirements	M1	3/30/17	3/30/17	4/6/17	FC
A.2 (R4)	LSE ICAP obligations	M2*	11/22/17			
A.3 (R1)	2017 NYCA resource adequacy assessment	M1	5/25/17	5/25/17	6/1/17	FC
<b>Transmission Planning</b>						
B.2 (R1)	2016 NYCA Transmission Review	M1	2/23/17	2/23/17	3/2/17	FC
B.3 (R1 to R3)	List of NYS BPS Facilities	M1	8/24/17	8/24/17	8/31/17	FC
<b>Transmission Operation</b>						
C.5 (R1, R3)	NYISO 2017 fault current assessment	M1	5/25/17	5/24/17	6/1/17	FC
C.5 (R2)	Equipment Owner fault current assessment actions	M2*	5/25/17	5/24/17	6/1/17	FC
C.8 (R1)	Real-time operations of the NYS BPS	M1	Monthly	10/3/17	10/5/17	FC <sup>7</sup>
<b>Emergency Operations</b>						
D.1 (R1 to R8)	Procedures for mitigating Major Emergencies	M1	4/27/17	4/27/17	5/4/17	FC
D.1 (R10)	Annual statewide voltage reduction tests	M3	7/27/17	7/27/17	8/3/17	FC
D.2 (R1, R2)	TO load shedding allocation	M1	4/27/17	4/27/17	5/4/17	FC
D.2 (R3)	TO load shedding documentation	M2*	4/27/17	4/27/17	5/4/17	FC
<b>System Restoration</b>						
F.1 (R1)	NYISO system restoration procedures and actions	M1	<sup>3</sup>			
F.1 (R2)	TO system restoration plans	M2*	11/22/17			
F.1 (R3)	Black Start Provider requirements	M3*	<sup>3</sup>			

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<b>Local Area Operation</b>						
G.1 (R1, R2, R4, and R5)	NYISO requirements for Con Ed operating procedures	M1	8/24/17	8/24/17	8/31/17	FC
G.1 (R3 and R6)	NYISO requirements for Con Ed operating reserves	M2	8/24/17	8/24/17	8/31/17	FC
G.1 (R7)	Con Ed operating procedures and reserves	M3*	8/24/17	8/24/17	8/31/17	FC
G.2 (R1)	Con Edison loss of gas supply procedures	M1*	8/24/17	8/24/17	8/31/17	FC
G.2 (R2)	NYISO requirements for Con Ed loss of gas facility proc.	M2	8/24/17	8/24/17	8/31/17	FC
G.2 (R3, R4)	NYISO requirements for GO duel fuel capability testing of MOB combined cycle units	M3	4			
G.2 (R5)	GO procedures for testing duel fuel capability of MOB combined cycle units	M4*	4			
G.2 (R6)	GO duel fuel capability testing of combined cycle units	M5*	4			
G.3 (R1)	LIPA loss of gas supply procedure and actions	M1*	6/22/17	6/22/17	6/29/17 <sup>8</sup>	FC
G.3 (R2)	NYISO requirements for LIPA loss of gas supply procedure	M2	6/22/17	6/22/17	6/29/17	FC
G.4 (R1)	NYISO req. for identification of Eligible Black Start units	M1	6/22/17	6/22/17	6/29/17 <sup>8</sup>	FC
G.4 (R2)	Con Ed identification of Eligible Black Start units	M2*	6/22/17	6/22/17	6/29/17 <sup>8</sup>	FC
<b>Modeling and Data</b>						
I.1 (R3)	GO generation net dependable capacity tests	M2*	3/30/17	3/30/17	4/6/17	FC
I.1 (R4)	GO generation reactive power capacity tests	M3*	3/30/17	3/30/17	4/6/17	FC
I.2 (R2)	GO generating unit outage data reporting	M2*	3/30/17	3/30/17	4/6/17	FC
I.2 (R3)	Generating unit outage statistics	M3	7/27/17	7/27/17	8/3/17	FC
I.2 (R4)	SCR performance report	M4	7/27/17	7/27/17	8/3/17	FC
I.2 (R5)	RIP SCR performance data reporting	M5*	7/27/17	7/27/17	8/3/17	FC
I.3 (R2)	Annual actual and forecast load data	M2	7/27/17	7/27/17	8/3/17	FC
I.4 (R1)	Transmission data reporting requirements (Review #1) <sup>5</sup>	M1	12/29/16	1/4/17	1/5/17 <sup>6</sup>	FC
I.4 (R1)	Transmission data reporting requirements (Review #2) <sup>5</sup>	M1	9/29/17	9/29/17	10/5/17	FC
I.4 (R3)	MP transmission data reporting	M3*	9/29/17	9/29/17	10/5/17	FC

Updated 10/5/17

\*Applicable to Market Participants; NYISO compliance certification required.

Footnotes:

1. Compliance documentation due dates are generally scheduled seven days prior to RCMS meetings. Compliance documentation requirements are shown in Appendix 1.
2. NYISO Compliance Levels
  - FC – Full Compliance
  - NC1 – Non-Compliance Level 1
  - NC2 – Non-Compliance Level 2
  - NC3 – Non-Compliance Level 3
  - NC4 – Non-Compliance Level 4
3. Compliance schedule depends on timing of tariff changes described in the PRR 133 Implementation Plan – see Appendix 1 for more details.
4. Compliance schedule depends on timing of tariff changes described in the PRR 131c Implementation Plan – see Appendix 1 for more details.
5. **I.4 (R1) Review #1** requires a NYISO compliance submission in accordance with the PRR 132 Implementation Plan. See Appendix 1 for **I.4 (R1) Review #2** requirements.
6. From this compliance review – in accordance with the PRR 132 Implementation Plan – RCMS concluded that a new PRR should be prepared to clarify an intended requirement that Market Participants shall apply NYISO data screening guidelines for identifying suspect data.
7. This Full Compliance finding applies to the August and September operations reports. Operation Reports for all previous months in 2017 were also found in Full Compliance.
8. Administrative revisions on the compliance certification form for this Requirement were satisfactorily completed by the NYISO on 6/29/17.

**APPENDIX 1: 2017 RELIABILITY COMPLIANCE PROGRAM – COMPLIANCE DOCUMENTATION REQUIREMENTS**

Requirements	Type of Reporting **	Compliance Period	Compliance Documentation Requirements
<b>A.2</b> (R1 to R3)	Complete documentation according to M1.		A NYISO Locational Capacity Requirement (LCR) Report, covering the 2017 Capability Year, shall be provided. The NYISO shall also provide the allowable amount of LSE ICAP requirements that may be located externally to the NYCA, and how this was calculated. An appropriate NYISO staff person shall be available at the RCMS meeting to discuss this information and answer questions, including the status of LCR methodology changes.
<b>A.2</b> (R4) *	Certification according to M2.	Nov 2016-Oct 2017	
<b>A.3</b> (R1)	Complete documentation according to M1.		A NYCA Resource Adequacy report covering the 2017-19 period shall be provided. One month before the assessment is presented for compliance, the RCMS and NYISO staff shall agree on the scenarios to be included in the analysis. An appropriate NYISO staff person should be available at the RCMS meeting to discuss this report and answer questions.
<b>B.R2</b> (R1)	Complete documentation according to M1.		A NYCA 2016 Transmission Review, in accordance with the <i>NYSRC Procedure for NYCA Transmission Reviews</i> , shall be provided. This transmission assessment shall include the status of upgrades that are necessary avoid NYSRC reliability rule violations that were identified in previous transmission reviews and Corrective Action Plans as required. An appropriate NYISO staff person should be available at the RCMS meeting to discuss this report and answer questions.
<b>B-R3</b> (R1 to R3)	Complete documentation according to M1.		Reference the NYISO procedure for developing a list of NYS Bulk Power System facilities and submit the list to the NYSRC in accordance with any confidentiality restrictions. A current list of NYS Bulk Power System facilities shall be submitted to RCMS, recognizing confidentiality protection requirements.
<b>C.5</b> (R1, R3)	Complete documentation according to M1		A report covering an evaluation of the fault duty at each BPS station for the 2017 Capability Year shall be provided. If the report shows fault duty levels exceed equipment ratings, provide mitigation plans jointly approved by the NYISO and equipment owners.
<b>C.5</b> (R2) *	Certification according to M3		This certification applies to Equipment Owner evaluation of the NYISO 2017 Capability Year fault duty evaluation required by C.5 (R1).
<b>C.8</b> (R1)	Complete documentation	Monthly	Monthly operations reports that provide data on the performance of the NYCA system for that month shall be provided. Data to be included in this report shall be in accordance with

<b>Requirements</b>	<b>Type of Reporting **</b>	<b>Compliance Period</b>	<b>Compliance Documentation Requirements</b>
	according to M1		R1.1 to R1.8 requirements.
<b>D.1 (R1 to R8)</b>	Self Certification according to M1		Include references to NYISO procedures for complying with R1 to R8.
<b>D.1 (R10)</b>	Complete documentation according to M3		A report on the results of statewide voltage reduction tests for the 2017 summer period shall be provided.
<b>D.2 (R1, R2)</b>	Self Certification according to M1		Provide references to NYISO procedures for complying with R1 and R2, in addition to providing evidence that analyses were conducted during the 2016 Capability Year to ensure that sufficient load shedding is available to meet NYISO load shedding requirements.
<b>D.2 (R3) *</b>	Certification according to M2	2016 Capability Year	
<b>F.1 (R1)</b>	Self Certification according to M1		This self certification shall be accompanied by a statement describing the NYISO process for reviewing the adequacy of the black start resources as identified by the TOs, for assuring the NYISO that the local operating systems would be restored in a safe and orderly manner and as promptly as reasonable possible following a major or total blackout, as defined by R1. In addition, in accordance with the PRR 133 Implementation Plan – following a necessary tariff change – the NYISO shall submit evidence that it has modified its procedures to comply with R1.5 rule changes, and has notified Black Start Providers to revise their procedures as necessary for meeting new R3 requirements.
<b>F.1 (R2) *</b>	Certification according to M2	2016 Capability Year	
<b>F.1 (R3) *</b>	Certification according to M3	2016 Capability Year	The certification shall be accompanied by a statement commenting on any black start testing issues or concerns encountered during the 2016 Capability Year testing period.
<b>G.1 (R1,R2, R4, and R5)</b>	Self Certification according to M1		The NYISO shall provide references to documentation requiring Con Ed to provide operating procedures in accordance with R5.
<b>G.1 (R.3, R6)</b>	Self Certification according to M2		Provide references to NYISO procedures for ensuring adequate 10-minute reserves in NYC in accordance with R6.
<b>G.1 (R7) *</b>	Certification according to M3	2016 Capability Year	

<b>Requirements</b>	<b>Type of Reporting **</b>	<b>Compliance Period</b>	<b>Compliance Documentation Requirements</b>
<b>G.2 (R1) *</b>	Certification according to M1	2016 Capability Year	Provide selected examples of Con Edison actions taken during the 2016 Capability Year for complying with loss of gas supply rules that were reported to the NYISO in accordance with R1.
<b>G.2 (R2)</b>	Self Certification according to M2		Provide references to NYISO procedures in accordance with R2.
<b>G.2 (R3, R4)</b>	Self Certification according to M3		Provide references to NYISO procedures requiring testing and a list of MOB dual fuel units, in accordance with R3. This list shall be provided to RCMS in accordance with NYISO confidentiality requirements. See PRR 131c Implementation Plan.
<b>G.3 (R1) *</b>	Certification according to M1	2016 Capability Year	Provide selected examples of LIPA actions taken during the 2016 Capability Year for complying with loss of gas supply rules that were reported to the NYISO in accordance with R1.
<b>G.3 (R2)</b>	Self Certification according to M2		
<b>G.4 (R1)</b>	Self Certification according to M1		The NYISO shall reference modified procedures in accordance with PRR 135 changes and Implementation Plan.
<b>G.4 (R2) *</b>	Certification according to M2	2016 Capability Year	Con Edison shall conduct a study for identifying eligible black start resources in accordance with R2. See PRR 135 modified requirements and Implementation Plan.
<b>I.1 (R3) *</b>	Certification according to M2	Winter 2015-16, Summer 2016	
<b>I.1 (R4) *</b>	Certification according to M3	Summer 2016	
<b>I.2 (R2) *</b>	Certification according to M2	Jan-Dec 2016	
<b>I.2 (R3)</b>	Self Certification according to M3		In addition, historical generating outage data shall be prepared and submitted to ICS for use in the NYSRC 2018-19 IRM Study.
<b>I.2 (R4)</b>	Self Certification according to M4		In addition, SCR performance data and report shall be prepared and submitted to ICS for use in the NYSRC 2018-19 IRM Study.
<b>I.2 (R5) *</b>	Certification according to M5	2016 Capability Year	
<b>I.3 (R2)</b>	Self Certification according to M1		In addition, appropriate load forecast data shall be submitted to ICS for use in the 2018-19 IRM Study.
<b>I.4 (R1) (Review #2)</b>	Self Certification according to M1		The NYISO shall report to RCMS a summary – subject to appropriate confidentiality protection – of all assessments conducted during January to June 2017 that examined the

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Requirements	Type of Reporting **	Compliance Period	Compliance Documentation Requirements
			potential for an adverse material impact of a Market Participant's data error on the reliability of the NYS Bulk Power System, in accordance with R1.7 requirements, and the results of such assessments. An appropriate NYISO staff person should be available at the RCMS meeting to discuss these assessments.
I.4 (R3) *	Certification according to M3	January through June 2017	

\*This is a Market Participant requirement.

\*\* Types of compliance reporting are described in NYSRC Policy 4, Section 4.