

**NEW YORK STATE RELIABILITY COUNCIL  
2021 RELIABILITY COMPLIANCE PROGRAM**

| REQUIREMENT(S)                | REQUIREMENT DESCRIPTION  | MEASURE | COMPLIANCE DOCUMENTATION DUE 1 | DATE RECEIVED | DATE OF RCMS REVIEW | NYISO COMPLIANCE LEVEL 2 |
|-------------------------------|--|---------|--------------------------------|---------------|---------------------|--------------------------|
| <b>Resource Adequacy</b>      |  |         |                                |               |                     |                          |
| A.2:R1                        | 2021 Locational Capacity Requirements                            | M1      | 3/25/21                        | 3/25/21       | 4/1/21              | FC                       |
| A.2:R2                        | LSE ICAP obligations   | M2*     | 11/23/21                       | 11/23/21      | 11/30/21            | FC                       |
| A.3:R1                        | 2021-22 resource adequacy assessment                             | M1      | 5/27/21                        | 5/27/21       | 6/3/21              | FC                       |
| A.3:R2                        | Long term resource adequacy assessment                           | M2      | 1/28/21                        | 1/27/21       | 2/4/21              | FC                       |
| <b>Transmission Planning</b>  |  |         |                                |               |                     |                          |
| B.1: R1 to R4                 | Transmission planning criteria                                   | M1      | 2/25/21                        | 2/25/21       | 3/4/21              | FC                       |
| B.2: R1                       | 2020 NYCA transmission review                                    | M1      | 2/25/21                        | 2/25/21       | 3/4/21              | FC                       |
| B.2: R1                       | 2021 NYCA transmission review                                    | M1      | 2/3/22                         | 8/26/21       | 9/2/21              | FC                       |
| B.3: R1, R2                   | List of NYS BPS Facilities                                       | M1      | 2/25/21                        | 2/25/21       | 3/4/21              | FC                       |
| B.4: R1                       | Transmission interconnection special studies                     | M1      | 5/27/21                        | 5/27/21       | 6/3/21              | FC                       |
| <b>Transmission Operation</b> |  |         |                                |               |                     |                          |
| C.1: R1, R2                   | Establishing operating transfer capabilities                     | M1      | 3/25/21                        | 3/25/21       | 4/1/21              | FC                       |
| C.2: R1, R2                   | Post contingency operation                                       | M1      | 3/25/21                        | 3/25/21       | 4/1/21              | FC                       |
| C.3: R1 to R.5                | Transmission outage coordination                                 | M1      | 5/27/21                        | 5/27/21       | 6/3/21              | FC                       |
| C.3: R6                       | TO procedures for transmission outage coordination               | M2      | 5/27/21                        | 5/27/21       | 6/3/21              | FC                       |
| C.4: R1 to R3                 | Extreme weather and severe solar magnetic disturbance operations | M1      | 6/24/21                        | 6/24/21       | 7/1/21              | FC                       |
| C.5: R1, R3                   | 2021 fault current assessment                                    | M1      | 6/24/21                        | 6/23/21       | 7/21/21             | FC                       |
| C.5: R2                       | Equipment Owner fault current assessment actions                 | M2*     | 6/24/21                        | 6/23/21       | 6/23/21             | FC                       |
| C.6: R1, R2                   | Applications of the NYSRC Rules                                  | M1      | 8/26/21                        | 8/26/21       | 9/2/21              | FC                       |
| C.7: R1                       | Exceptions to the NYSRC Rules                                    | M1      | 8/26/21                        | 8/26/21       | 9/2/21              | FC                       |
| C.8: R1                       | Real-time operations monthly reports                             | M1      | Monthly                        | 1/6/22        |                     | FC 3                     |
| <b>Emergency Operations</b>   |  |         |                                |               |                     |                          |
| D.1: R1 to R8                 | Mitigation of Major Emergencies                                  | M1      | 8/26/21                        | 8/26/21       | 9/2/21              | FC                       |

Updated 1/6/22

|                             |   |     |          |          |          |    |
|-----------------------------|---|-----|----------|----------|----------|----|
| <b>D.1:</b> R10             | Annual statewide voltage reduction tests                | M3  | 9/30/21  | 9/30/21  | 10/7/21  | FC |
| <b>Operating Reserves</b>   |   |     |          |          |          |    |
| <b>E.1:</b> R1-7            | Minimum operating reserve requirements                  | M1  | 9/30/21  | 9/30/21  | 10/7/21  | FC |
| <b>System Restoration</b>   |   |     |          |          |          |    |
| <b>F.1:</b> R3              | Black Start Provider requirements                       | M3* | 9/30/21  | 9/30/21  | 10/7/21  | FC |
| <b>Local Area Operation</b> |   |     |          |          |          |    |
| <b>G.2:</b> R6              | GO dual fuel capability testing of combined cycle units | M5* | 10/28/21 | 10/28/21 | 11/4/21  | FC |
| <b>Modeling and Data</b>    |   |     |          |          |          |    |
| <b>I.2:</b> R3              | Generation outage statistics report                     | M3  | 10/28/21 | 10/28/21 | 11/4/21  | FC |
| <b>I.2:</b> R4.4            | SCR performance report                                  | M4  | 10/28/21 | 10/28/21 | 11/4/21  | FC |
| <b>I.3:</b> R2              | Annual actual and forecast load data                    | M2  | 11/23/21 | 11/23/21 | 11/30/21 | FC |
| <b>I.4:</b> R3              | MP transmission data reporting                          | M3* | 11/23/21 | 11/23/21 | 11/30/21 | FC |

\*Applicable to Market Participants; NYISO compliance certification is required (see Appendix 1).

Footnotes:

1. Compliance documentation due dates are generally scheduled seven days prior to RCMS meetings. Compliance documentation requirements are shown in Appendix 1.

2. NYISO Compliance Levels

FC – Full Compliance

NC1 – Non-Compliance Level 1

NC2 – Non-Compliance Level 2

NC3 – Non-Compliance Level 3

NC4 – Non-Compliance Level 4

3. All 2021 monthly operations reports through November 2021 were found in Full Compliance.

**2021 “As Required” NYISO Compliance Review Reports**

| <b>Rule Requirement</b>   | <b>Major Emergency Report Summary</b>   | <b>Date NYISO Report Was Received</b> | <b>RCMS Review Date</b> | <b>RCMS Comments</b> | <b>NYISO Compliance Level</b> |
|---|---|---------------------------------------|-------------------------|----------------------|-------------------------------|
| <p><b>D.1:R9</b><br/>                     “The NYISO shall report to the NYSRC on every instance of a Major Emergency.”</p> | <p>On 2/10/21, a Major Emergency was declared when the power flow on Central East exceeded its voltage transfer limit by 5%. This was caused by the trip of the New Scotland 345KV bus. To correct this, the NYISO shift supervisor started and re-dispatched generation, placed capacitors in service, and moved PARs.</p> | <p>2/10/21</p>                        | <p>3/4/21</p>           | <p>None</p>          | <p>FC</p>                     |