

## 5.2 Executive Committee IRM Voting Procedure

### Step 1: Executive Committee Discussion

Before any vote is taken the Executive Committee will conduct a full discussion of the IRM Study, the base case IRM, and the sensitivity studies, in which the Executive Committee members will have an opportunity to express their views.

### Step 2: Straw Poll

Following the discussion in Step 1, a secret straw poll will be taken in which each Executive Committee member will indicate the IRM the member supports as the final IRM. The IRMs to be voted on will include the base case IRM and any IRM plus or minus 0.1%, in multiples thereof, above or below the base case IRM. The Executive Secretary will announce the three IRMs that received the most votes.

### Step 3: IRM Voting

Executive Committee will take successive votes on the IRM choices as determined in Step 2. The IRM that received the most votes in the straw poll will be voted on first. If that IRM does not receive the votes necessary for approval, the IRM that received the second most votes in the straw poll will be voted on. If that IRM does not receive the votes necessary for approval, the IRM that received the third most votes in the straw poll will be voted on. If there is a tie vote in the straw poll, the IRM that is closest to the base case IRM will be voted on. If they are equally close, they will be voted on together. If none of the IRMs voted on receives the necessary number of votes, the procedure described in Step 4 will be followed. If one of the IRM options voted on receives the necessary nine affirmative votes, the voting will be concluded. If the Executive Committee does not approve a new IRM, the current IRM will remain in effect for the next Capability Year.

The Executive Secretary will distribute printed ballots for each vote which will indicate the subject of the vote, the identity of the Executive Committee member voting, and the member's vote. The Executive Secretary will tabulate the votes which will be confirmed by the NYSRC Counsel. The Executive Secretary will announce the results but not how individual Executive Committee members voted. The Executive Secretary and the Counsel will treat the votes by individual Executive Committee members as confidential and not to be disclosed to any other Executive Committee member or any other party except on the express direction of the Executive Committee. The Executive Secretary will retain the voting records for a period of three years.

### Step 4: Executive Committee Caucus

If none of the choices voted on in Step 3 receives the necessary nine affirmative votes, the Executive Committee will caucus to discuss options for achieving the necessary nine affirmative votes.

### Step 5: Subsequent IRM Voting

Following the Executive Committee caucus, the Executive Committee will vote again on the IRM choices that were voted on in Step 3.

### Step 6: Final Resolution

If none of the IRM options voted on in Step 5 receives the necessary nine affirmative votes, Steps 4 and 5 will be repeated until an IRM receives the necessary nine affirmative votes.

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