

NYISO Certification

Description:

NYSRC Reliability Rule Reference (No. and Name)

A.2: Establishing Load Serving Entity Installed Capacity Requirements

NYSRC Requirement(s) for which compliance is being certified

R2. LSEs shall procure sufficient *resource capacity* for the entire NYISO defined obligation procurement period so as to meet the ICAP requirements and LCRs as established by the NYISO in accordance with R1 requirements and NYISO tariffs so as to meet the statewide IRM requirement determined from Reliability Rule A.1.

R2.1 Each LSE shall certify and maintain its ICAP obligation for the next *Capability Period*, including any LCRs, in accordance with LSE ICAP requirements established by the NYISO tariffs and procedures.

Compliance Monitoring Process

Compliance Monitoring Responsibility:

- M2: NYISO/RCMS

Compliance Documentation Reporting Frequency:

- M2: Annually

Compliance Reporting Requirements:

- M2: NYISO Certification of LSE Compliance

Measure No.

<input checked="" type="checkbox"/> Full Compliance	M2. Each LSE demonstrated that it procured sufficient <i>capacity</i> from Installed Capacity Suppliers to meet its ICAP requirement, in accordance with R2.
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Levels of Non-Compliance

___ Level 1	M2. Not applicable.
___ Level 2	M2. Not applicable.
___ Level 3	M2. Not applicable.
___ Level 4	M2. One or more LSEs failed to comply with NYISO requirements to demonstrate that it procured sufficient <i>capacity</i> to meet its ICAP obligation, as required by R2.

NOTES: Sections 5.10 and 5.11.2 of the Market Services Tariff and Section 3.4 of the NYISO Installed Capacity (ICAP) manual require that each LSE procure sufficient Unforced Capacity (UCAP) to meet its Minimum Unforced Capacity requirements each

month. In that same section of the ICAP Manual, there is a requirement that LSEs certify to the NYISO the amount of the UCAP they have obtained for the upcoming Obligation Procurement Period. This certification takes place in the ICAP Automated Market application. LSEs that fail to successfully certify sufficient UCAP to meet their minimum UCAP requirement are automatically entered into the Spot Market Auction for the associated Obligation Procurement Period, and the UCAP is purchased on their behalf. Section 5.14.1 of the Market Services Tariff specifies that UCAP purchased in the Spot Market Auction shall be used by the LSE toward all components of its UCAP obligation.

Evidence of compliance with A2 R2 is contained in the automated market system.

Certified by: J. Boles

Title: Senior Manager, Capacity Market Operations

Date: November 30, 2021