

Transmission and Dispatching Operations Manual

April 2016

2.1.5 Applications of the Reliability Rules

Prior to the NYISO startup, the Applications of the Reliability Rules (Applications) were existing operating procedures and local rules implemented by the Transmission Owners in New York. The Applications of the NYSRC Reliability Rules were assembled from these

procedures and acknowledged by the NYSRC. The Applications are implemented through procedures that apply to very specific system locations or conditions in New York.

As a threshold matter, please note that the NYISO's tariffs implement certain Reliability Rules directly. The implementation of the Applications of the Reliability Rules will continue to require close coordination between the transmission owners and the NYISO in order to maintain the reliability of the NYS Power System. The Transmission Owners (TO) must continue to coordinate with the NYISO on the implementation of Applications of the Reliability Rules for those portions of the New York State Transmission System ("NYS Transmission System") not included in the NYISO Controlled Transmission System.

The NYISO oversees compliance with the Reliability Rules for the New York State Power System. The NYISO performs periodic compliance reviews to determine whether the TOs are continuing to apply the Applications to a specific local area. The Annual NYSRC Compliance Program determines the frequency and schedule for the compliance reviews.

Transmission Owner Responsibilities

The TOs are responsible for implementing the Applications of the Reliability Rules for those portions of the NYS Power System that are not included in the NYISO Controlled Transmission System. Implementation of certain Applications of the Reliability Rules must be coordinated with the NYISO where the NYISO lacks the necessary analysis and/or monitoring capabilities.

The TOs shall maintain procedures to implement the Applications. Any new or revised procedure developed or modified by the TO shall be provided to the NYISO Staff for review and approval.

A Transmission Owner may define new or modified Applications of the Reliability Rules. New or modified Applications of the NYSRC Reliability Rules, proposed by a Transmission Owner are subject to review and approval by the NYISO Staff.

NYISO Responsibilities

The NYISO shall maintain the Applications of NYSRC Reliability Rules and make them in a table posted on the NYISO's website. The NYISO will review these Applications with the TOs periodically and update the table of Applications as necessary.

The NYISO Staff shall review and approve any modified or newly proposed Applications of the Reliability Rules. Following approval, the NYISO shall notify the NYSRC and revise the table of TO Applications.

The NYISO may also propose revisions to or additional Applications of the Reliability Rules. The NYISO will work closely with the Transmission Owner to develop and implement these Applications.

The NYISO shall also review and approve any new or revised procedures developed by the TO associated with an Application.

Changes to the TO Application of Reliability Rules

The following process will be used to define new Applications of Reliability Rules or modification of existing Applications of the Reliability Rules:

1. The following entities can define new Applications of the Reliability Rules or modify existing Applications of the Reliability Rules:
 - NYISO
 - NY Transmission Owners
2. Applications of the Reliability Rules proposed by the TOs shall be referred to the NYISO for approval.
3. Once the NYISO concurs, it shall take two actions:
 - Include them in the next version of the NYISO Transmission and Dispatching Operations Manual, and
 - Advise the NYSRC.
4. The NYSRC shall post the updated Applications of the Reliability Rules on its web site.

Any incremental uplift costs incurred to meet Applications of the Reliability Rules shall be recovered by the NYISO through a statewide uplift charge if the Application secures a facility within the NYISO Controlled Transmission System. Applications of the Reliability Rules may apply to facilities that are not included in the NYISO Controlled Transmission System, but are implemented by the NYISO at the TO's request. Incremental uplift costs associated with such Applications shall generally be borne by the Load Serving Entities in the Zone or Zones of the TO(s) making the request.

The Application of the Reliability Rules and the associated cost allocations are listed in [Table B.5](#) of this Manual.