NYISO Certification

Description:

NYSRC Reliability Rule Reference (No. and Name)

I.2: Generating Unit Availability and Special Case Resource Performance

NYSRC Requirement(s) for which compliance is being certified

R2. Installed Capacity Providers located in or serving the *NYCA* shall provide generating unit outage data for their generating units in accordance with *NYISO* procedures, tariffs and schedules for reporting outage data to the *NYISO*.

Compliance Monitoring Process

Compliance Monitoring Responsibility:

M2: NYISO/RCMS

Compliance Documentation Reporting Frequency:

M2: Annually.

Compliance Reporting Requirements:

• M2: NYISO Certification of LSE Compliance

Measure No.

| X Full Compliance | M2. | The NYISO certified that, during the time period designated by the |
|-------------------|-----|--|
| | | NYSRC, all Installed Capacity Providers reported generating unit |
| | | outage data in accordance with applicable NYISO procedures, |
| | | tariffs and schedules. |
| | | |
| | | |

Levels of Non-Compliance

| Level 1 | M2. The NYISO certified that an Installed Capacity Provider failed to report its |
|---------|--|
| | generating unit outage data in accordance with NYISO data reporting |
| | schedules. |
| Level 2 | M2. The NYISO certified that generating unit outage data from an Installed |
| | Capacity Provider was found to be misreported after the data was used |
| | in <i>reliability</i> studies. |
| | |
| | |
| | |

| Level 3 | M2. The NYISO certified that generating unit outage data from a single |
|---------|--|
| | Installed Capacity Provider was found to be misreported two or more |
| | times over a two-year period, after the data was used in reliability |
| | studies. |
| Level 4 | M2. The NYISO certified that an Installed Capacity Provider did not report its |
| | generating unit outage data in accordance with applicable NYISO |
| | procedures and tariffs. |

Notes: Section 5.12.5 of the Market Services Tariff and Section 4.4 of the Installed Capacity Manual include requirements for ICAP resources to report outage data as part of the Generating System Data System (GADS) data submission. Section 4.4 of the Installed Capacity Manual also includes the due dates for reporting GADS data. Evidence of compliance with outage reporting requirements is available in the NxL software and in electronic files maintained by Installed Capacity Market Operations.

Certified by: J. Boles

Title: Manager, Installed Capacity Market Operations

Date: March 30, 2017