

# NYISO Self-Certification

## Description:

### NYSRC Reliability Rule Reference (No. and Name)

#### C.7: Exceptions to the NYSRC Reliability Rules

### NYSRC Requirement(s) for which compliance is being self-certified

**R1.** The *NYISO* shall implement actions required for granting new exceptions or modifying or removing current exceptions, as described in *NYSRC Policy 1, Procedure for Reviewing, Developing, Modifying, and Disseminating NYSRC Reliability Rules*:

**R1.1.** Each *Transmission Owner* shall be requested, at least annually, to assess its exceptions and to determine whether it wishes to request the *NYSRC* to grant a new exception or modify or remove a current exception.

**R1.2.** The *NYISO* shall process requests from the *NYSRC* to review applications for a new exception or the renewal or modification of a current exception that:

- The *NYSRC* has received directly from a *Market Participant* or,
- The *NYSRC* has received from a *Transmission Owner* via the Annual Exception Review.

**R1.3.** Following its review pursuant to R1.2, the *NYISO* shall notify the *NYSRC* if it recommends that the *NYSRC* approve the granting of the new exception, or whether the current exception should be removed or modified as proposed. The *NYISO* shall document the reasons for its recommendation, including a finding that there would be no adverse impact to *reliability* upon issuance of the new exception or removal or modification of the current exception. If the *NYISO* recommends that the exception request should be rejected by the *NYSRC*, the *NYISO* shall document its reasons for such a recommendation.

### Compliance Monitoring Process

#### Compliance Monitoring Responsibility:

- M1: RCMS

#### Compliance Documentation Reporting Frequency:

- M1: In accordance with *NYSRC* Compliance Monitoring Program schedules.

#### Compliance Reporting Requirements:

- M1: Self-Certification

## Measure No.

<input checked="" type="checkbox"/> Full Compliance	<p><b>M1.</b> The <i>NYISO</i> initiated required actions for implementing the <i>NYSRC</i> process of granting new exceptions or modifying or removing current exceptions (“exception changes”), in accordance with R1, as follows:</p> <ol style="list-style-type: none"><li>1. Requested each <i>Transmission Owner</i>, at least annually, to review its exceptions and determine whether it wishes to request the <i>NYSRC</i> to grant exception changes, per R1.1.</li><li>2. In accordance with <i>NYSRC</i> requests, reviewed <i>Transmission Owner</i> proposals for exception changes, and notified the <i>NYSRC</i> of the results of its reviews and recommendations on a timely basis, per R1.2 and R1.3.</li></ol>
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## Levels of Non-Compliance

___ Level 1	M1. During a calendar year, the <i>NYISO</i> did not request <i>Transmission Owners</i> to review their exceptions to determine whether they wish to request exception changes.
___ Level 2	M1. Not applicable
___ Level 3	M1. Following a request by the <i>NYSRC</i> , the <i>NYISO</i> did not review and/or provide a recommendation on a proposed exception change and provide the <i>NYSRC</i> with the results of its review on a timely basis.
___ Level 4	M1. Not applicable

**Notes:** The *NYISO* maintains a list of Exceptions to *NYSRC* Rules in the *NYISO* Emergency Operations Manual Table A.1. Annually, the *Transmission Owners* are asked to review this list and confirm. Updates are provided to the *NYSRC*. [Link to Rules Exceptions:](#)

**Certified by:** G. Campoli

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**Date:** October 27, 2016