



## **Manual 4**

# **Installed Capacity Manual**

**Issued: June 2022**

#### **4.12.4.7. Reporting Partial Sales**

A RIP that sells less than one hundred percent (100%) and more than zero percent (0%) of its total registered MW may identify the portion of each SCR that constitutes the sale. The RIP must import any such identification into the DRIS within five (5) business days following posting of the ICAP Spot Market Auction results on or before the date and time specified in the ICAP Event Calendar and DRIS Event Calendar. Nothing in the preceding sentence shall diminish a RIP's obligation to provide data regarding SCRs within a Mitigated Capacity Zone, including pursuant to *ICAP Manual* Section 5.15.2. SCRs identified by a RIP as not sold in the month of an event will not have their performance during event hours counted toward their performance factors. If a RIP does not provide the information within the specified period, each SCR of a RIP applicable to a sale (for example, at the PTID if the PTID is identified in the sale) will be considered as sold at its full registered MW value. UCAP values will be calculated for each SCR in accordance with Sections [4.12.2.1](#) of this *ICAP Manual*.

#### **4.12.4.8. Reporting SCR Performance Data**

Performance for each SCR shall be reported for all hours during all mandatory SCR events and any required one-hour performance tests in which the SCR was required to reduce load in a Capability Period. The RIP must upload the file into the DRIS, on or before 5:00:00 P.M. on the seventy-fifth (75th) day after each called event or test, on or before the date and time as specified in the ICAP Event Calendar and DRIS Event Calendar. For example, the NYISO must receive from the RIP SCRs performance data on or before 5:00:00 P.M. on June 29 pertaining to the month of April during which the SCR was called upon to reduce Load on April 15.

Each Capability Period, the NYISO will calculate performance factors for each SCR based on all of the following values from the Prior Equivalent Capability Period and the Capability Period preceding the Prior Equivalent Capability Period: (a) the best set of four (4) consecutive hours in each mandatory event of four hours or more, (b) all hours for mandatory events of less than four hours, and (c) all required one-hour performance test data. For SCRs called to perform in a mandatory event, the load reduction value used in performance factor calculations shall be selected as the higher of the greatest load reduction in any mandatory event hour or the load reduction demonstrated in the first performance test.

The RIP shall report the performances of each SCR individually directly into the DRIS using an import file formatted as specified in the *NYISO Demand Response Information System User's Guide* (available from the NYISO Web site at [https://www.nyiso.com/documents/20142/3625950/DRIS\\_UG.pdf](https://www.nyiso.com/documents/20142/3625950/DRIS_UG.pdf)). The NYISO

shall track each SCR's performance in accordance with the procedures contained in this Section 4.12. Performance measurements will be calculated in accordance with Sections [4.12.2.1](#) of this *ICAP Manual*.

If the RIP does not import performance data for any SCRs into the DRIS by 5:00:00 P.M. on the seventy-fifth (75th) day after the date of each event or test, the NYISO (a) will attribute zero performance to those Resources for purposes of satisfying the Resource's capacity obligation, determining energy payments, and calculating shortfalls and deficiency charges, and (b) may impose sanctions pursuant to the NYISO Services Tariff.

All hours, including those in excess of the hours used for performance measurement, including performance tests, will be used to determine Energy payments in accordance with Section [4.12.7](#), statistics for NYISO internal use, the computation of deficiency charges, and as the basis for various external reports, and for other purposes in accordance with the *NYISO Services Tariff*.

In the event that a SCR located at a retail customer was in operation (in the case of a Local Generator) or providing Load reduction (in the case of interruptible Load) in response to a SCR event or performance test, at the time of the NYCA system or Transmission District peak upon which the Minimum Unforced Capacity Requirement of the LSE serving that customer is based, the LSE's Minimum Unforced Capacity Requirement shall be increased by the amount of Load that was served or interrupted by the SCR.

#### **4.12.4.9. Requesting a correction to SCR meter data**

Each RIP must report accurate meter data for a SCR in accordance with Sections 5.12.5 and 5.12.11 of the NYISO Services Tariff and Sections 4.12.4.1, 4.12.4.2, 4.12.4.3 and 4.12.4.8 of this *ICAP Manual*. Meter data for each SCR must be reported on or before the date and time specified in the *ICAP Event Calendar* and *DRIS Event Calendar*. A RIP may not request correction of meter data (i) when it failed to report the required meter data by the deadline specified in the *ICAP Event Calendar*, (ii) when the meter data submitted was a placeholder for accurate information (*e.g.*, the RIP does not have accurate meter data at the submission deadline and submits a value of zero or some other value for all required data solely in order to meet the deadline), or (iii) to correct falsified data.

Under exceptional circumstances as set forth below, and subject to NYISO evaluation, the NYISO may accept certain corrected meter data related to the enrollment and performance of a SCR that was previously submitted to the NYISO. The NYISO will review requests to correct a SCR's meter data on a case-by-case basis, and is under no obligation to accept the meter data correction requested by the RIP. The NYISO will consider correcting the meter data identified below. No other meter data will be corrected.

- For a SCR enrolled with an ACL (but not with a Provisional ACL or an Incremental ACL): The NYISO will consider correcting the SCR's net meter data used for purposes of establishing the Net Average Coincident Load for:
  - the current Capability Period,
  - the most recently closed Capability Period,
  - the prior equivalent Capability Period of the current Capability Period, or
  - the Capability Period immediately preceding the prior equivalent of the current Capability Period.
- For a SCR enrolled with either a Provisional ACL or an Incremental ACL: The NYISO will consider correcting SCR's net meter data used for purposes of establishing the SCR's Verified ACL for:
  - the most recently closed Capability Period,
  - the prior equivalent Capability Period of the current Capability Period, or
  - the Capability Period immediately preceding the prior equivalent of the current Capability Period.
- Performance data reported for any hours during a SCR event or any required performance test in which the SCR was required to reduce load. The NYISO will consider correcting such data only from:
  - the current Capability Period,
  - the most recently closed Capability Period,
  - the prior equivalent Capability Period of the current Capability Period, or
  - the Capability Period immediately preceding the prior equivalent of the current Capability Period.
- CBL data reported for any hours during a SCR event or any required performance test in which the SCR was required to reduce load. The NYISO will, on a best efforts basis, process the received data such that Energy payments are reflected in the Final Bill Closeout period (see Sections 1.3 and 1.5 of the NYISO's Accounting and Billing Manual) for such event or performance test.

A RIP that requests a meter data correction is required to provide to the NYISO supporting documentation sufficient for NYISO to evaluate and validate the requested correction. Such information includes, but is not limited to:

- The SCR's hourly integrated meter Load data for each hour of the affected Capability Period(s) in Hour Beginning format;
- A letter from the SCR's Transmission Owner or Meter Services Entity (MSE) that read the meter confirming the accuracy of the meter data submitted by the RIP;
- A letter from a member of the RIP's executive team with the following:
  - Detailed explanation of the root cause of the inaccurate meter data for the SCR including, but not limited to, how the data error was identified by the RIP;
  - Detailed explanation of the procedures and processes the RIP has put in place to help prevent the error from recurring in the future, if any, since the error was identified; and
  - A statement attesting the accuracy of the corrected meter data.

A RIP may not request correction of the same meter data more than one time. If the NYISO receives, validates, and accepts a RIP's corrected meter data, that data can no longer be changed.