

**NYISO 2020 NERC/NPCC/NYSRC Program Schedule and Compliance Status**

Legend

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| NYSRC Certifications |
| NPCC Certifications  |
| NERC Certifications  |

12/30/2020

| Reliability Org | Standard       | Title  | Description | Comment            | Due Date   | Date Submitted | Status | Disposition     |
|-----------------|----------------|--|-------------|--------------------|------------|----------------|--------|-----------------|
| January         |                |  |             |                    |            |                |        |                 |
| February        |                |  |             |                    |            |                |        |                 |
|                 | C.3 (R1 to R5) | NYISO procedures requiring TO coordination of transmission outages         |             | Certification      | 2/27/2020  | 2/27/2020      | Closed | Full Compliance |
|                 | C.3 (R6)       | TO procedures requiring coordination of transmission outages               |             | Self-Certification | 2/27/2020  | 2/27/2020      | Closed | Full Compliance |
| March           |                |  |             |                    |            |                |        |                 |
|                 | A.2 (R1)       | 2019 Locational capacity requirements                                      |             | Self-Certification | 3/26/2020  | 3/26/2020      | Closed | Full Compliance |
|                 | F.1 (R2)       | TO system restoration plans  |             | Certification      | 3/26/2020  | 3/26/2020      | Closed | Full Compliance |
|                 | F.1 (R3)       | Black Start provider requirements  |             | Certification      | 3/26/2020  | 3/26/2020      | Closed | Full Compliance |
| April           |                |  |             |                    |            |                |        |                 |
|                 | G.3 (R2)       | LIPA loss of gas supply procedures and actions                             |             | Certification      | 4/23/2020  | 4/23/2020      | Closed | Full Compliance |
|                 | G.4 (R2)       | Con Ed identification of Eligible Black Start units                        |             | Certification      | 4/23/2020  | 4/23/2020      | Closed | Full Compliance |
| May             |                |  |             |                    |            |                |        |                 |
|                 | A.3 (R1)       | 2020 resource adequacy assessment  |             | Self-Certification | 5/28/2020  | 5/28/2020      | Closed | Full Compliance |
|                 | I.1 (R1 & R2)  | NYISO procedures for resource capacity verification testing                |             | Self-Certification | 5/28/2020  | 5/28/2020      | Closed | Full Compliance |
|                 | I.1 (R3)       | GO generation net dependable capacity tests                                |             | Certification      | 5/28/2020  | 5/28/2020      | Closed | Full Compliance |
|                 | I.1 (R4)       | GO generation reactive power capacity tests                                |             | Certification      | 5/28/2020  | 5/28/2020      | Closed | Full Compliance |
| June            |                |  |             |                    |            |                |        |                 |
|                 | B.3 (R1 to R3) | List of NYS BPS Facilities   |             | Self-Certification | 6/18/2020  | 6/18/2020      | Closed | Full Compliance |
|                 | C.5 (R1, R3)   | 2020 fault current assessment  |             | Self-Certification | 6/18/2020  | 6/18/2020      | Closed | Full Compliance |
|                 | C.5 (R2)       | Equipment Owner fault current assessment actions                           |             | Certification      | 6/18/2020  | 6/18/2020      | Closed | Full Compliance |
| July            |                |  |             |                    |            |                |        |                 |
|                 | G.1 (R7)       | Con Ed operating procedures and reserves                                   |             | Certification      | 7/30/2020  | 7/30/2020      | Closed | Full Compliance |
|                 | G.2 (R2)       | Con Ed loss of gas supply procedures and actions                           |             | Certification      | 7/30/2020  | 7/30/2020      | Closed | Full Compliance |
|                 | G.2 (R5)       | GO procedures for testing dual fuel capability of MOB combined cycle units |             | Certification      | 7/30/2020  | 7/30/2020      | Closed | Full Compliance |
|                 | G.2 (R6)       | GO dual fuel capability testing of combined cycle units                    |             | Certification      | 7/30/2020  | 7/30/2020      | Closed | Full Compliance |
| August          |                |  |             |                    |            |                |        |                 |
|                 | D.1 (R10)      | Annual statewide voltage reduction tests                                   |             | Self-Certification | 8/27/2019  | 8/27/2019      | Closed | Full Compliance |
|                 | H.1 (R1 & R2)  | NYISO Telecommunications with Tos  |             | Self-Certification | 8/27/2019  | 8/27/2019      | Closed | Full Compliance |
|                 | H.3 (R1)       | Performance data of Control Center telecommunications interfaces           |             | Self-Certification | 8/27/2019  | 8/27/2019      | Closed | Full Compliance |
|                 | I.2 (R5)       | RIP SCR performance data reporting   |             | Certification      | 8/27/2019  | 8/27/2019      | Closed | Full Compliance |
| September       |                |  |             |                    |            |                |        |                 |
|                 | I.2 (R1)       | NYISO procedures for generating unit outage data reporting                 |             | Self-Certification | 9/24/2020  | 9/24/2020      | Closed | Full Compliance |
|                 | I.2 (R2)       | GO generating unit outage data reporting                                   |             | Certification      | 9/24/2020  | 9/24/2020      | Closed | Full Compliance |
|                 | I.2 (R3)       | Generating unit outage statistics  |             | Self-Certification | 9/24/2020  | 9/24/2020      | Closed | Full Compliance |
|                 | I.2 (R4)       | SCR Performance Report   |             | Self-Certification | 9/24/2020  | 9/24/2020      | Closed | Full Compliance |
| October         |                |  |             |                    |            |                |        |                 |
|                 | I.4 (R1)       | NYISO Procedures for transmission data                                     |             | Self-Certification | 10/29/2020 | 10/29/2020     | Closed | Full Compliance |
|                 | I.4 (R2)       | NYISO transmission data base updating                                      |             | Self-Certification | 10/29/2020 | 10/29/2020     | Closed | Full Compliance |
|                 | I.4 (R3)       | MP Transmission data reporting   |             | Certification      | 10/29/2020 | 10/29/2020     | Closed | Full Compliance |
| November        |                |  |             |                    |            |                |        |                 |
|                 | A.2 (R2)       | LSE ICAP Obligations   |             | Certification      | 11/24/2020 | 11/24/2020     | Closed | Full Compliance |
|                 | A.3 (R2)       | 2021-30 Resource Adequacy Assessment                                       |             | Self-Certification | 11/24/2020 | 11/24/2020     | Closed | Full Compliance |
|                 | I.3 (R1)       | NYISO procedures for actual & forecasted load reporting                    |             | Self-Certification | 11/24/2020 | 11/24/2020     | Closed | Full Compliance |

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|---------------------------------|--------------------|--|-------------------------|-----------------------------|------------|----------------|--------|-----------------|
|                                 | I.3 (R2)           | Annual actual and forecast load data     |                         | Self-Certification          | 11/24/2020 | 11/24/2020     | Closed | Full Compliance |
| NPCC(RRO)                       | Directory 1        | ATR                                      |                         | Self-certification          |            |                |        |                 |
| NPCC(RRO)                       | Directory 1        | Resource Adequacy                        |                         | Self-certification          |            |                |        |                 |
| NPCC(RRO)                       | Directory 8        | Key Facilities List                      |                         | Self-certification          |            |                |        |                 |
| NPCC(RRO)                       | Directory 9        | Generator Gross and Net Real Power       |                         | Self-certification          |            |                |        |                 |
| NPCC(RRO)                       | Directory 10       | Generator Gross and Net Reactive Power   |                         | Self-certification          |            |                |        |                 |
| NPCC(RRO)                       | Directory 12       | UFLS                                     |                         | Self-certification          |            |                |        |                 |
| Periodic Submittals             |                    |  |                         |                             |            |                |        |                 |
| NPCC(ERO)                       | BAL-001-2          | Real Power Balancing Control Performance | CPS Data                | Monthly                     | 12/8/2020  |                |        |                 |
| NPCC(ERO)                       | BAL-002-1          | Disturbance Control Performance          | DCS                     | Quarterly                   | 12/9/2020  |                |        |                 |
| NPCC(ERO)                       | BAL-003-1.1        | Frequency Response and Bias              |                         | Annually (Jan)              | 1/9/2020   |                |        |                 |
| NPCC(ERO)                       | BAL-006-2          | Inadvertent Interchange                  | Inadvertent Interchange | Monthly                     | 12/9/2020  |                |        |                 |
| NPCC(ERO)                       | TPL-001-4          | System Performance - Normal              |                         | Annually (with Directory 1) | 3/10/2020  |                |        |                 |
| NYSRC                           | C.8 (R1.1 to R1.8) | Real-time operations of the NYS BPS      | Operating Report        | Monthly                     | Current    |                |        |                 |
| NERC Guided Self Certifications |                    |  |                         |                             |            |                |        |                 |
|                                 | PER-003-1 R2       | Operating Personnel Credentials          |                         | Self-certification          |            |                |        |                 |