

# NYISO Self-Certification

**Description:**

**NYSRC Reliability Rule Reference (No. and Name)**

**E.1: Establishing the Minimum Level of Operating Reserve**

**NYSRC Requirement(s) for which compliance is being self-certified**

**R.7** The *NYISO* shall maintain procedures and systems that ensure the *adequacy* of *operating reserves* and shall provide documentation of these procedures and systems, in accordance with R1 to R6. The *NYISO* must notify the *NYSRC* of any changes to these procedures and systems.

**Compliance Monitoring Process**

**Compliance Monitoring Responsibility:**

- M1: RCMS

**Compliance Documentation Reporting Frequency:**

- M1: In accordance with *NYSRC* Compliance Monitoring Program schedule.

**Compliance Reporting Requirements:**

- M1: *NYISO* Self Certification.

**Measure No.**

<input checked="" type="checkbox"/> Full Compliance	<p><b>M1.</b> In accordance with R7, <i>NYISO</i> has procedures consistent with maintaining the requirements for operating <i>resource</i> adequacy (R1), and the requirement to maintain <i>availability</i> and minimum level in all <i>operating reserve</i> categories (R2 and R3). <i>NYISO</i> commitment and dispatch systems shall maintain <i>resources</i> and <i>operating reserve</i> consistent with the requirements of R1 to R6. To the extent necessary these procedures and systems took into consideration <i>local reliability rules</i>. The <i>NYISO</i> notified <i>NYSRC</i> of any changes to the procedures or systems.</p>
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**Levels of Non-Compliance**

<input type="checkbox"/> Level 1	<p>M1. <i>NYISO</i> did not have adequate procedures or systems in place, or failed to notify <i>NYSRC</i> of a change to its procedures or systems.</p>
<input type="checkbox"/> Level 2	<p>M1. Not applicable.</p>
<input type="checkbox"/> Level 3	<p>M1. Not applicable.</p>

___ Level 4	M1. The <i>NYISO</i> did not provide the required procedures.
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**Notes:** The NYISO maintains procedures and systems to ensure the adequacy of operating reserves. The NYISO notifies NYSRC of any changes in these procedures, which are posted on the NYISO public website. Documentation of these procedures is found in the Outage Scheduling Manual section 2, the T&D Manual sections 3.1.3, 3.1.6, 3.1.7, 3.2, & 6.7.3-6.7.10, and the Emergency Operations Manual sections 4.4 and 8.4.

**Certified by:** A. Markham  
**Title:** Direct, Grid Operations  
**Date:** October 07, 2021