NYISO Certification

Description:

NYSRC Reliability Rule Reference (No. and Name)

F.1: NYCA System Restoration Plan

NYSRC Requirement(s) for which compliance is being certified

- **R3.** Each *Black Start Provider* shall develop and implement appropriate test procedures in accordance with R1, R2, and *NYISO* requirements and procedures to ensure those *black start facilities* that are included in the *NYISO's* and *Transmission Owner's* SRPs are able to perform their intended functions. These *black start* testing requirements shall include:
- **R3.1.** Each *black start facility* shall be tested annually. The NYISO shall determine the number of units within a *black start facility* that shall be tested annually.
- **R3.2.** Each *black start* unit shall test the ability to start with no support from the transmission system or when designed to remain energized without connection to the remainder of the System.
- **R3.3.** Each *black start* unit shall test the ability to energize its transmission bus. If it is not possible to energize its transmission bus during the test, the testing facility must affirm that the unit has the capability to energize its transmission bus such as verifying that the breaker close coil relay can be energized with the voltage and frequency monitor controls disconnected from the synchronizing circuits.
- **R3.4.** Each *black start unit* shall be tested such that once the unit has been started, it shall continue to demonstrate its capability to operate in a stable condition while isolated from the power system for a minimum of ten minutes.
- **R3.5.** With due regard for *reliability* considerations and subject to approval by the *Transmission Owner* and the *NYISO*, a test performed by *black start facilities* period, or longer in force majeure cases, shall be considered a valid test for that *Capability Year*. Each *Black Start Provider* shall provide documentation of these test results to the appropriate entity in accordance with *NYISO* and *Transmission Owner* procedures.
- **R3.6.** Each *Black Start Provider* shall annually provide a letter to the *NYISO* confirming that it identifies and maintains a list of critical components in its facilities (i.e., batteries, diesel back-up generators, inverters etc.) and performs tests to verify the condition of these critical components in accordance with good industry practice. Test results will be provided to the *NYISO* upon request.

R3.7. Black Start Providers shall attend NYISO and Transmission Owner restoration training as required.

Compliance Monitoring Process

Compliance Monitoring Responsibility:

• **M3**: RCMS

Compliance Documentation Reporting Frequency:

• M3: NYISO/RCMS

Compliance Reporting Requirements:

• M3: NYISO/RCMS

Measure No.

X Full Compliance	M3. As defined in R1 and R3, the NYISO certified that for the Capability
	Year specified by the NYSRC: (1) it received the annual letter from each Black
	Start Provider confirming that it maintained a list of critical components and
	tests these components accordingly, (2) it received sufficient documentation
	from each Black Start Provider showing that the Black Start Provider
	developed required test procedures and accordingly successfully tested its
	black start facilities for each <i>Capability Year</i> , and (3) each <i>Black Start Provider</i>
	met NYISO training requirements.

Levels of Non-Compliance

Level 1	M3. The <i>NYISO</i> certified that, for the <i>Capability Year</i> specified by the <i>NYSRC</i> , one
	Black Start Provider did not provide a letter to the NYISO satisfying required testing
	of critical facility components, or did not comply with black start facility testing
	requirements in accordance with R1, R2, and R3, and NYISO or Transmission Owner
	procedures.
Level 2	M3. The NYISO certified that, for the Capability Year specified by the NYSRC, two
	Black Start Providers did not provide a letter to the NYISO satisfying required testing
	of critical facility components, or did not comply with black start facility testing
	requirements in accordance with R1, R2, R3, and NYISO or Transmission Owner
	procedures and schedules
Level 3	M3. The NYISO certified that, for the Capability Year specified by the NYSRC, three
	Black Start Providers did not provide a letter to the NYISO satisfying required testing
	of critical facility components, or did not comply with black start facility testing
	requirements in accordance with R1, R2, R3, and NYISO or Transmission Owner
	procedures and schedules.
	OR
	The NYISO certified that one or more Black Start Providers failed to attend
	restoration training as required by the NYISO or Transmission Owner.
Level 4	M3. The NYISO certified that, for the Capability Year specified by the NYSRC, four
	or more Black Start Providers did not provide a letter to the NYISO satisfying

required testing of critical facility components, or did not comply with black start
facility testing requirements in accordance with R1, R2, R3, and NYISO or
Transmission Owner procedures or schedules.

The testing procedures for black start facilities are specified in the NYISO System Restoration Manual, Section 3.1.3 through 3.1.5, and 6.3.

For NYISO black start facilities, NYPA provided a verification and documentation letter April 2020, for the 2020-2021 Capability Period.

For Con Edison, black start facilities the Generator Owners provided verification and documentation letters April 2020, for the 2020-2021 Capability Period.

NYSRC_RuleF_Certification_2020(11-04-2020) provides evidence that each Black Start Provider attended TO restoration training in 2020, for the 2020-2021 Capability Period.

Certified by: R. Golen

Title: Manager, Operations Engineering

Date: October 07, 2021